

# Computational Nanoelectronics

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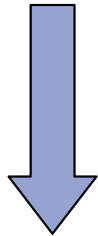
**PMAA06-September 8, 2006**



# Computational Nanoelectronics

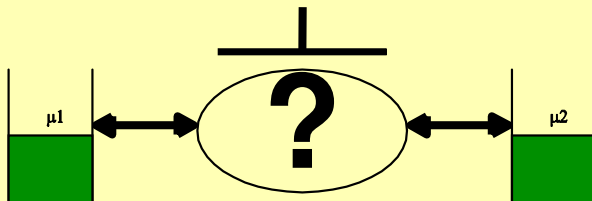
## Overview

**Ultimate size of traditional MOS device -  
End of scaling ?**



***Scaling of processor speed***

**Nanoelectronics  
research**



***Modeling and Simulation***



***Scaling of #processors***

**Development of new  
parallel computing  
platforms (multicore)**

***New computational  
power at hand***

***algorithm design, library  
innovation, adaptive library***



# Computational Nanoelectronics

## Overview

### needed for

- ❑ *investigating the ultimate size of traditional MOS transistor devices*
- ❑ *leading experiments in the exploration of new class of devices that relies on quantum effects*

### the need to account for high degrees of detail and realism (quantitative results)

- ❑ *Multi-scale and multi-dimensional aspect of electron transport and electrostatics effects,*
- ❑ *High-fidelity treatment of the contact regions,*
- ❑ *Information of the material at the atomistic level,*
- ❑ *Efficient methodology for the treatment dissipative scattering,*

**→ Computationally challenging problems**



# Computational Nanoelectronics

How to proceed ?

## Important features for a Nanoelectronics Simulator:

- ❑ *Addressing high-fidelity simulations of material & transport phenomena*
- ❑ *Flexible to handle arbitrary devices, different physics, materials, physical dimensions and geometries*
  - ➔ *Prototyping new-class of devices*

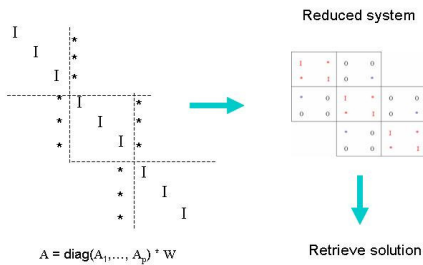


# NESSIE (1998-2006)

## A brief overview

### Numerical Parallel Algorithms:

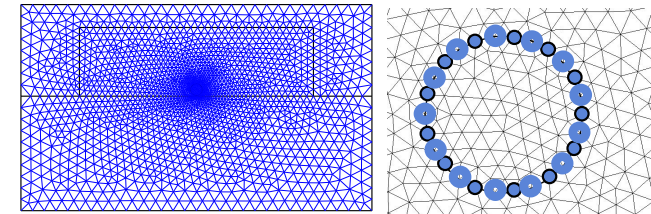
Linear solver (SPIKE),  
Eigenpairs solver (TraceMin),  
preconditioning strategies,...



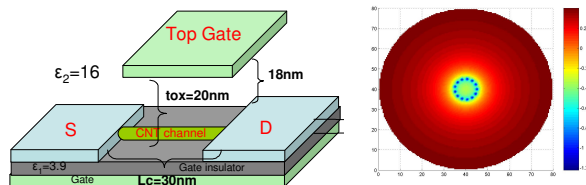
Transport/Electrostatics  
Multi-scale, Multi-physics  
Multi-method

### Mathematical Methodologies:

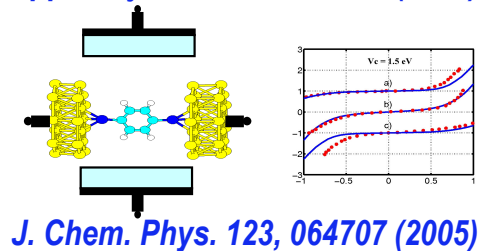
Finite Element Method,  
mode decomposition,  
multi-scale, non-linear  
numerical schemes,...



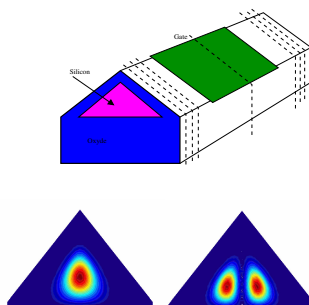
### 3D CNTs-3D Molec. Devices



*Appl. Phys. Let.*, 88, 242106 (2006)

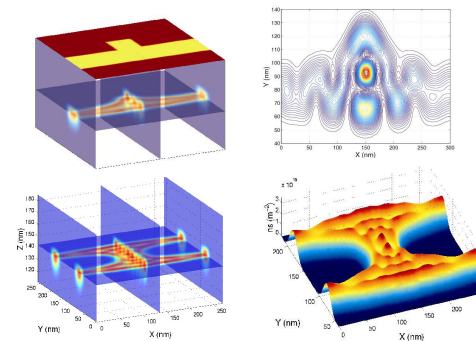


### 3D Si Nanowires



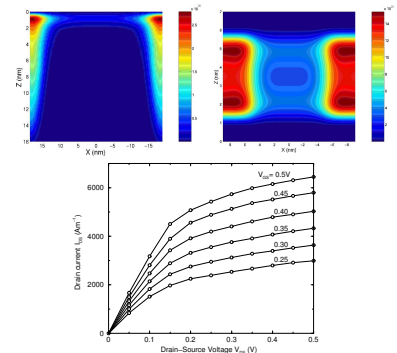
*J. Appl. Phys.* 96, 2192 (2004)

### 3D III/V Devices



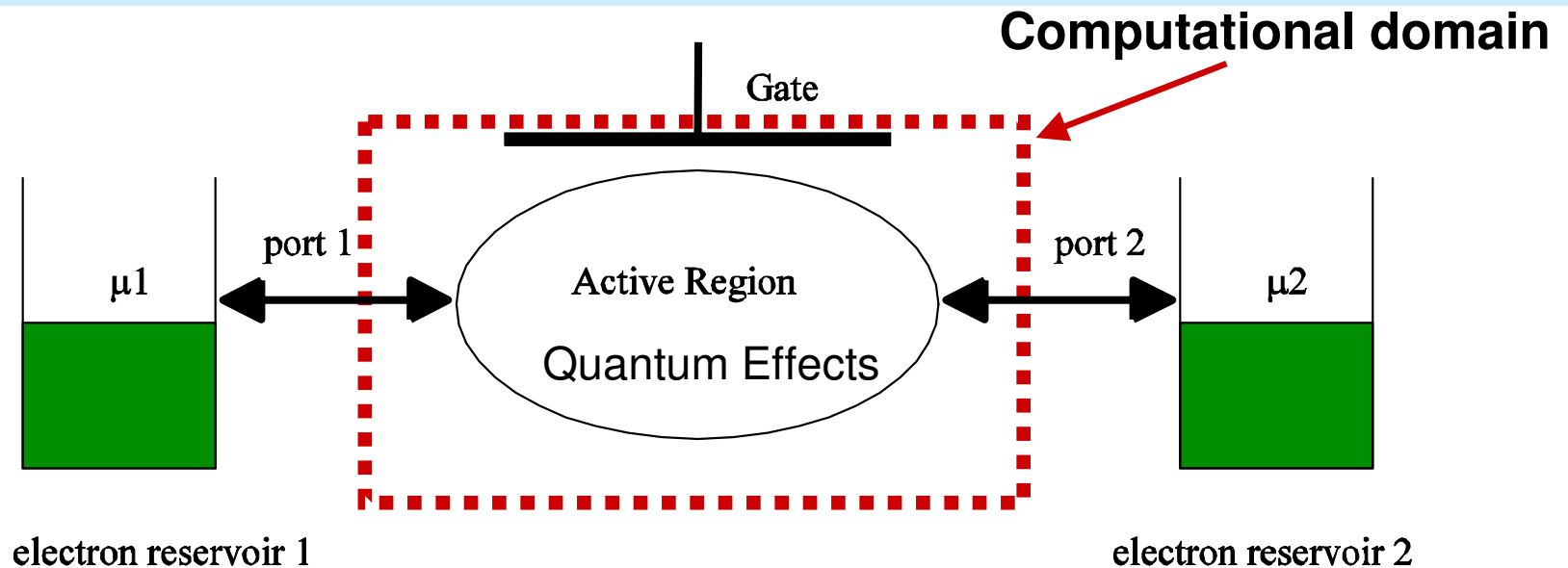
*Phys. Rev B.*, 66, 245301 (2002)

### 2D MOSFETs

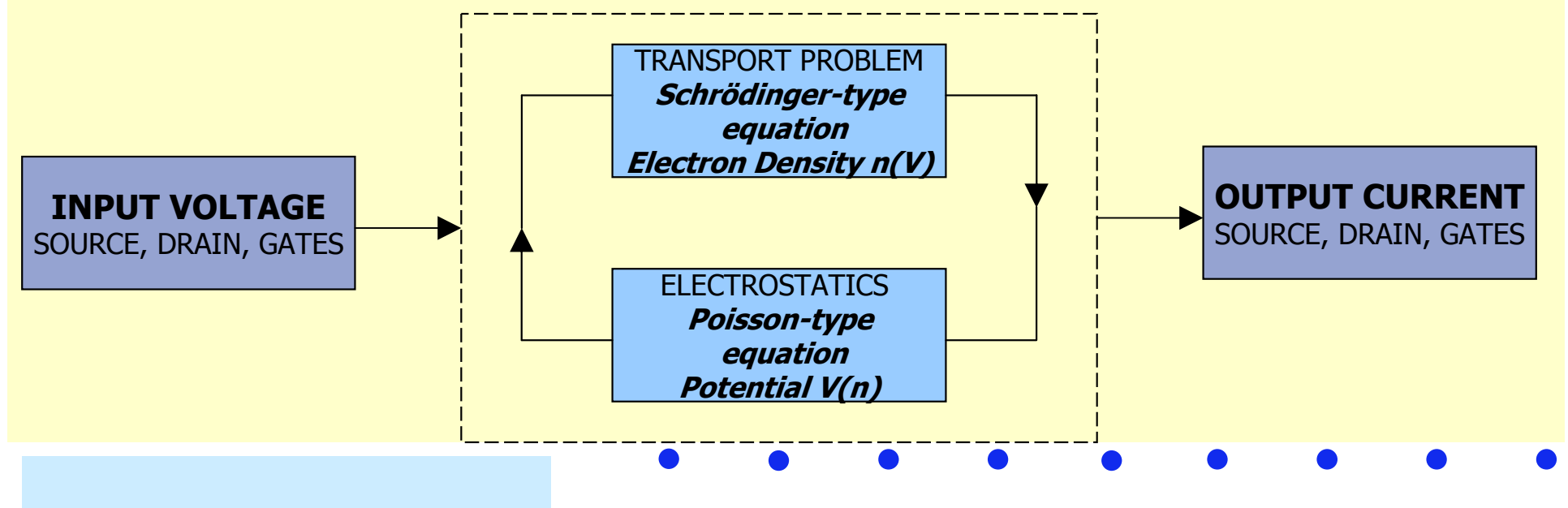


*J. Comp. Phys.* 202,150 (2004)

# Quantum Transport Modeling: Introduction



Current-Voltage Characteristics obtained by **self-consistent simulations**: **Transport-Electrostatics**



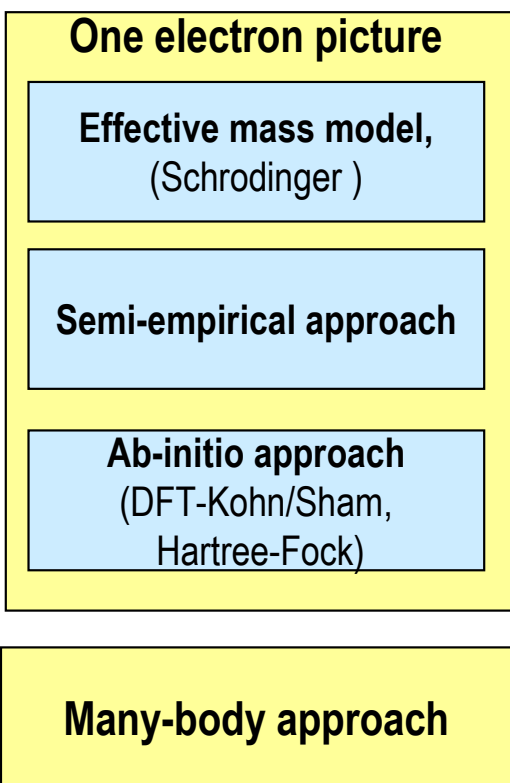
# Quantum Transport Modeling:

## Open systems- Ballistic transport

$$(E - H(V)) \psi_E = 0; \quad + \quad \text{B.C. (Boundary Conditions)}$$

### Electronic structure

Increasing  
physical  
complexity  
and  
numerical  
resources



### Nature of the problem

- ❑ **Dirichlet or Neumann B.C.**
  - ❑ *Isolated system*
  - ❑ *Energy levels are discretized*
- ❑ **Periodic B.C.**
  - ❑ *Infinite system*
  - ❑ *Bandstructure calculations (via Bloch states)*
- ❑ **Mixed B.C. (Energy dependent)**
  - ❑ *Open system*
  - ❑ *Energy levels form a continuum (Broadening)*

Eigenvalue  
problems

Linear  
systems

$$\psi_j = \psi_j^{in} + \psi_j^{out} \quad \left( \frac{\partial}{\partial \eta_j} - K_j(E) \right) \psi_j^{out} = 0$$



# Quantum Transport Modeling:

## Open systems – FEM discretization

- **Variational form of the problem** (existence and uniqueness: Ben Abdallah et al.):

$$\left\| \begin{array}{l} \text{Find } \Psi \in H_g^1 = \{\Psi : \Omega \rightarrow C; \Psi \in H^1(\Omega); \Psi = 0 \text{ on } \gamma_0\}, \text{ such that } \forall \varphi \in H_g^1 : \\ \frac{\hbar^2}{2} \int_{\Omega} \frac{1}{m^*} \nabla \Psi \nabla \varphi d\Omega + \int_{\Omega} (-qV - E) \Psi \varphi d\Omega - \sum_j \frac{\hbar^2}{2} \int_{\gamma_j} \frac{1}{m^*} (K_j \Psi) \varphi d\gamma_j = L_{j_0}^{ex} \end{array} \right.$$

- **Finite Element Discretization:**  $\Psi(\mathbf{x}) \simeq \sum_{i=1}^N \psi_i \omega_i(\mathbf{x})$

$$\left( E[S] - [H(\mathbf{v})] - \sum_j [\Sigma_j(E)] \right) \Psi_{j_0}^{ex} = L_{j_0}^{ex}$$

**For the calculation of electron and current densities,  
it is necessary to solve a large number of those linear  
systems- Integration over the energy**





# Numerical Techniques:

## Computational Challenges in Nanoelectronics Simulations

### Realistic Device Simulations

- ❑ *Multidimensional geometries*
  - ❑ *Detailed description of the electronic structure*
  - ❑ *Dissipative Scattering*
  - ❑ *Etc...*
- **High numerical costs**
- **Huge computer resources**

For only one point in the I-V curve	Full 2D	Full 3D
Matrix size	$O(10^4)$	$O(10^6)$
linear systems to solve by iteration	$O(10^3)$	$O(10^3)$
# self-consistent iterations	$O(10)$	$O(10)$
Simulation time	$O(\text{hours})$	$O(\text{days})$

### Standard strategy

- ❑ *Each processor handles many linear systems, one at a time*
- ❑ ***Sequential algorithms are inefficient for obtaining I-V curves on large systems***

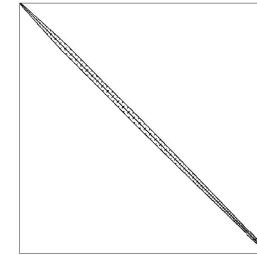
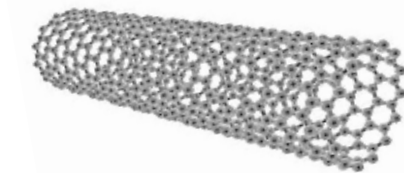
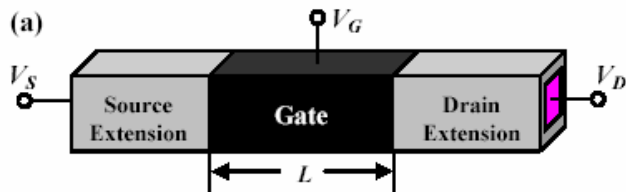


### Proposed strategy

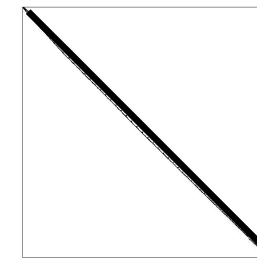
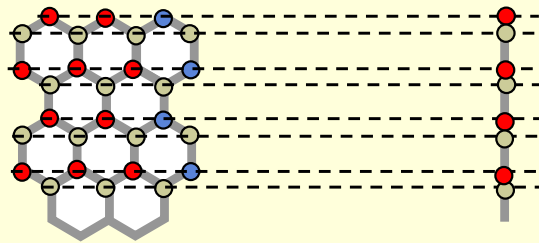
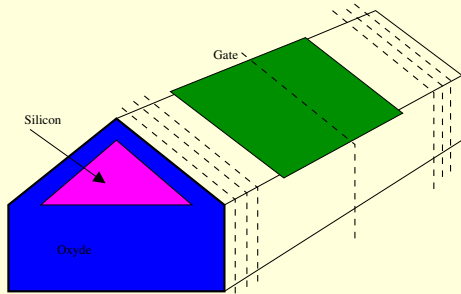
- ❑ ***Development of high performance numerical parallel algorithms enabling large-scale nanoelectronics simulations such as the linear solver SPIKE***



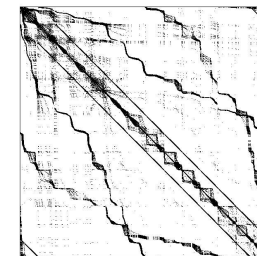
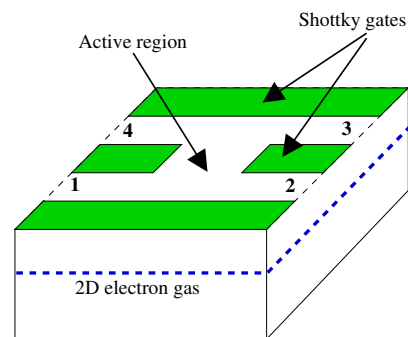
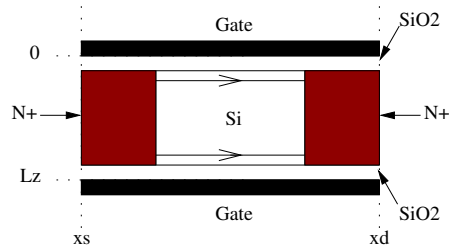
# Numerical Techniques: Matrix representation



**Sparse banded  
linear  
systems**



**Dense banded  
linear  
systems**



**General sparse  
linear  
systems**

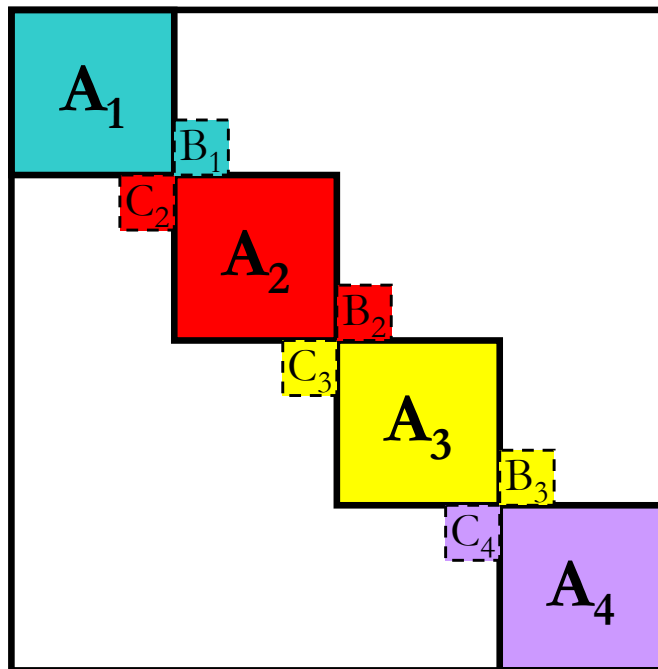
**→ Computational Nanoelectronics is in need of efficient parallel banded solvers**



# SPIKE

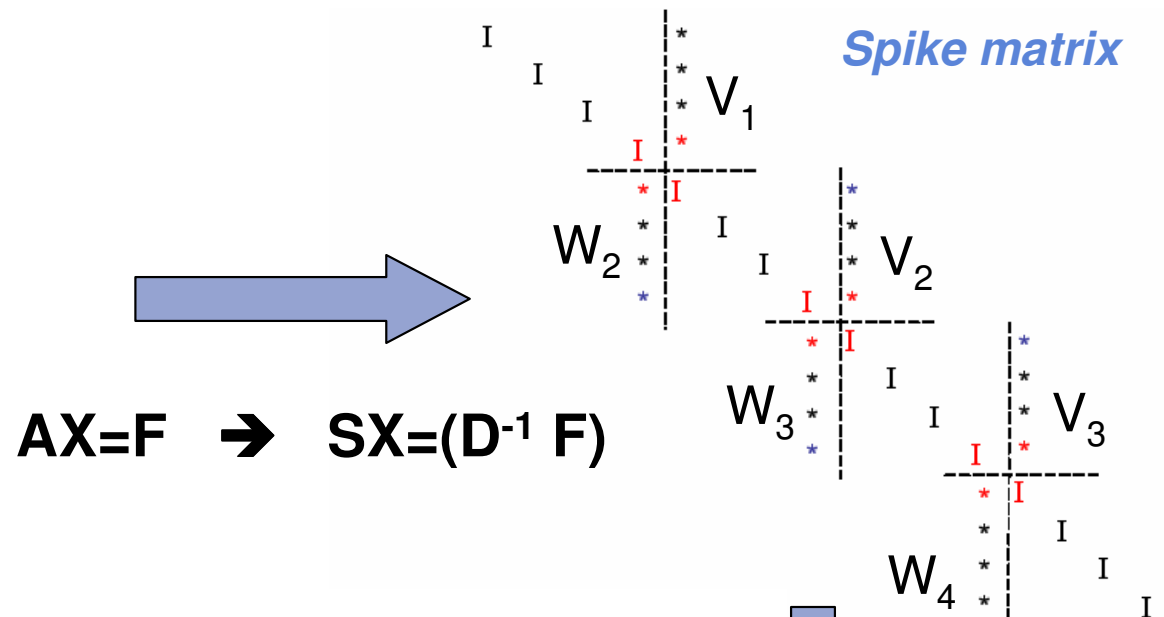
## Overview of the general algorithm

- SPIKE is a parallel banded system solver proposed by A. Sameh (77-78), recently revisited.



*Retrieve solution*

$$A_j X_j = F_j - \begin{bmatrix} 0 \\ I_m \end{bmatrix} B_j X_{j+1}^{(t)} - \begin{bmatrix} I_m \\ 0 \end{bmatrix} C_j X_{j-1}^{(b)}$$



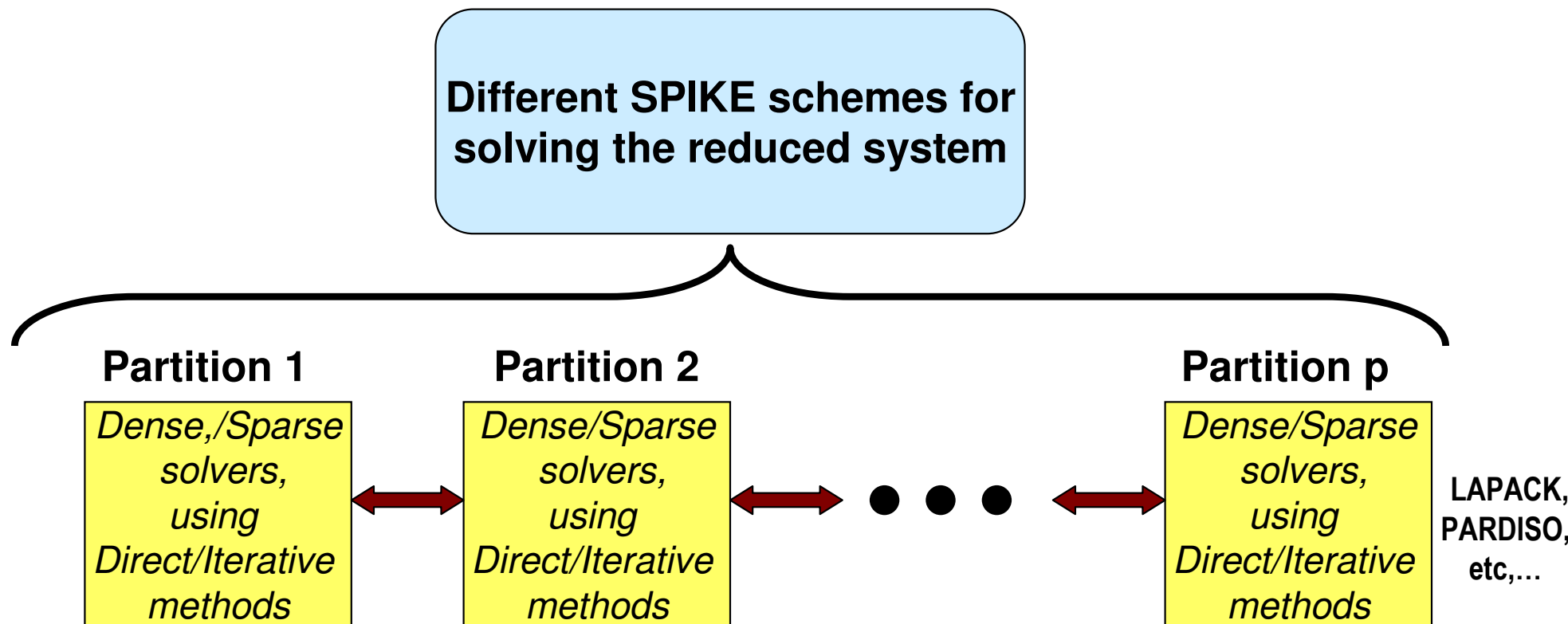
*Reduced system*

I	*	0	0		
*	I	0	*		
*	0	I	*	0	0
0	0	*	I	0	*
		*	0	I	*
		0	0	*	I



# SPIKE:

New Implementation - A robust parallel environment

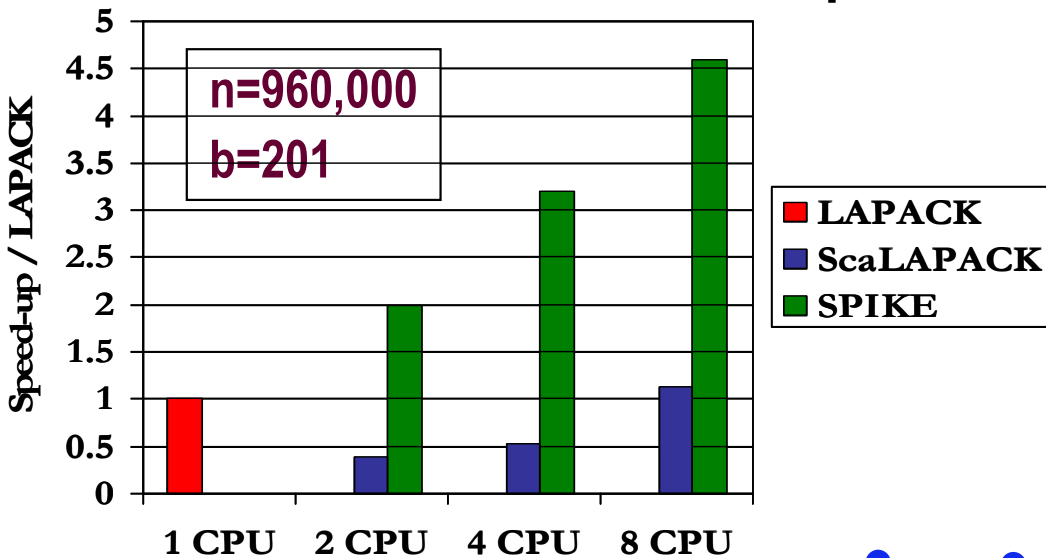
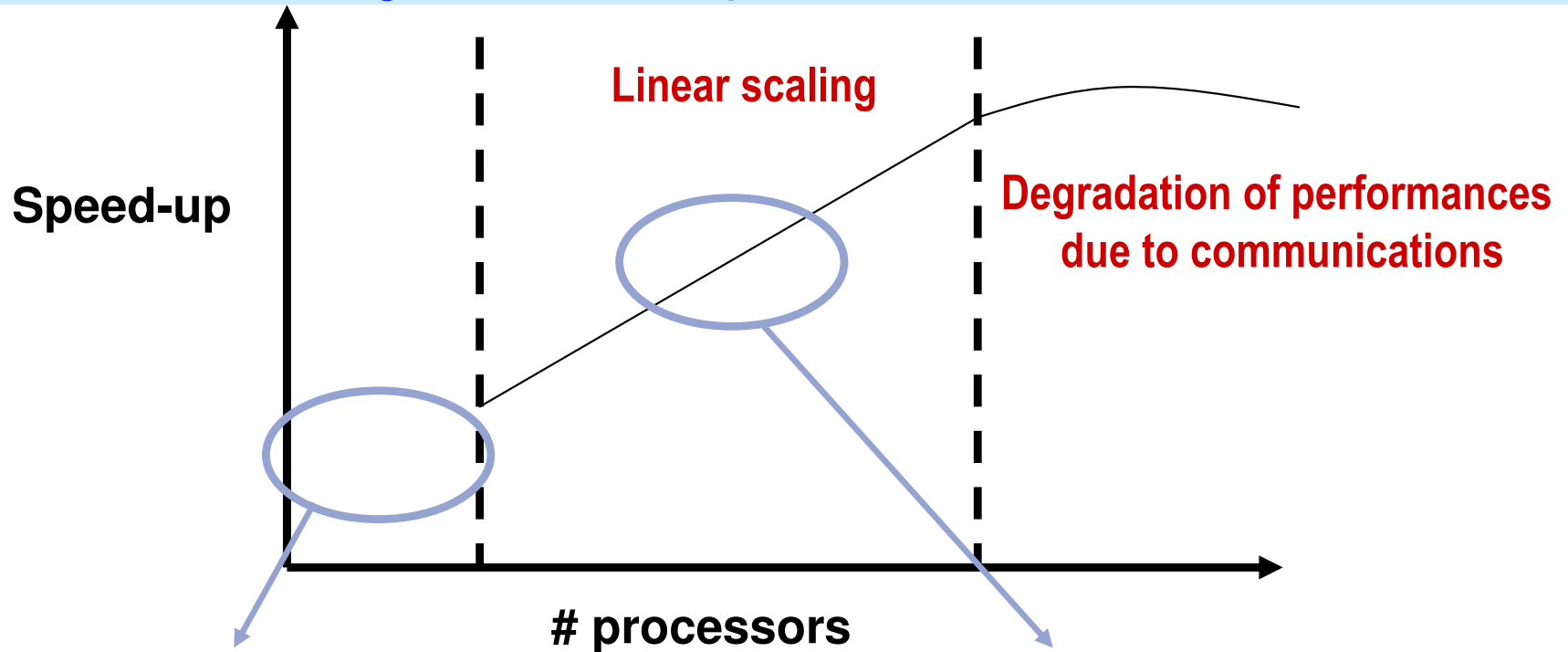


- ❑ ***SPIKE is essentially a family of domain decomposition techniques. The diagonal blocks  $A_j$  correspond to independent subdomains, and solving the resulting reduced system corresponds to solving the interface problem,***
- ❑ ***SPIKE is a hybrid and polyalgorithm (more than 40 different algorithms)***



# SPIKE:

From small to large number of processors



***SPIKE exhibits significant speed improvement as compared to banded ScaLAPACK***

*Polizzi, Sameh- Parallel Computing,32, p117 (2006)*

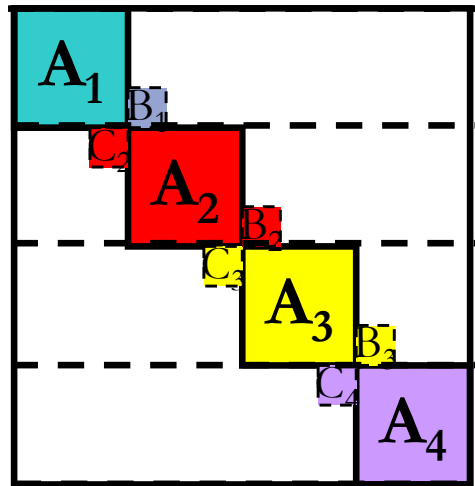
**SPIKE is ideally suited for both small and large number of processors (multicore technology)**



# SPIKE:

From small to large number of processors

## Traditional partitioning



Processors

1

Factorizations (w/o pivoting)

LU

2

LU and UL

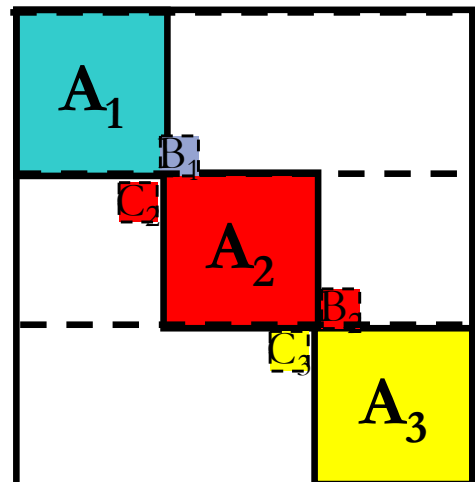
3

LU and UL

4

UL

## New partitioning



P processors → (P-2)/2 Partitions

Processors

1

Factorizations (w/o pivoting)

LU

2,3

LU (p=2); UL (p=3)

4

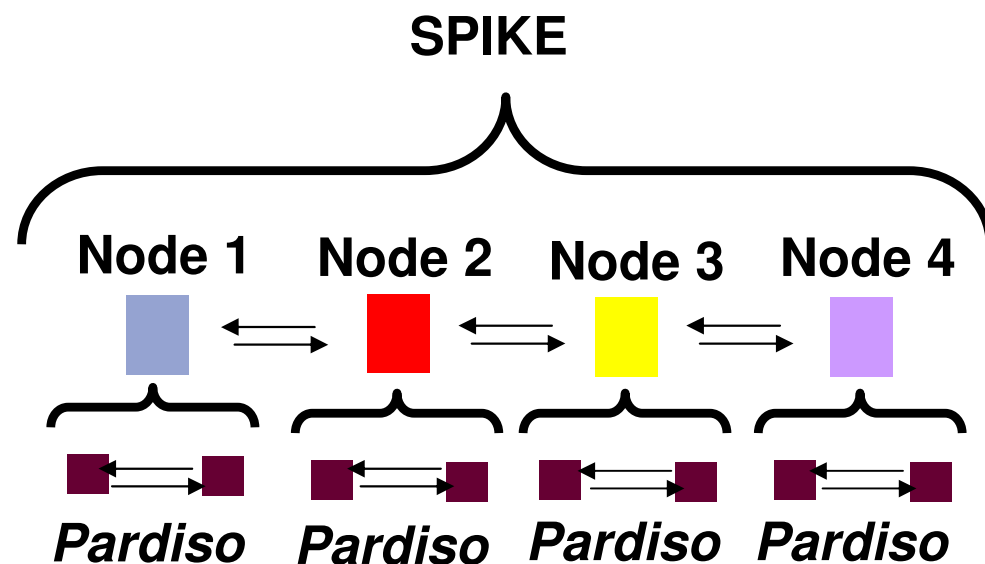
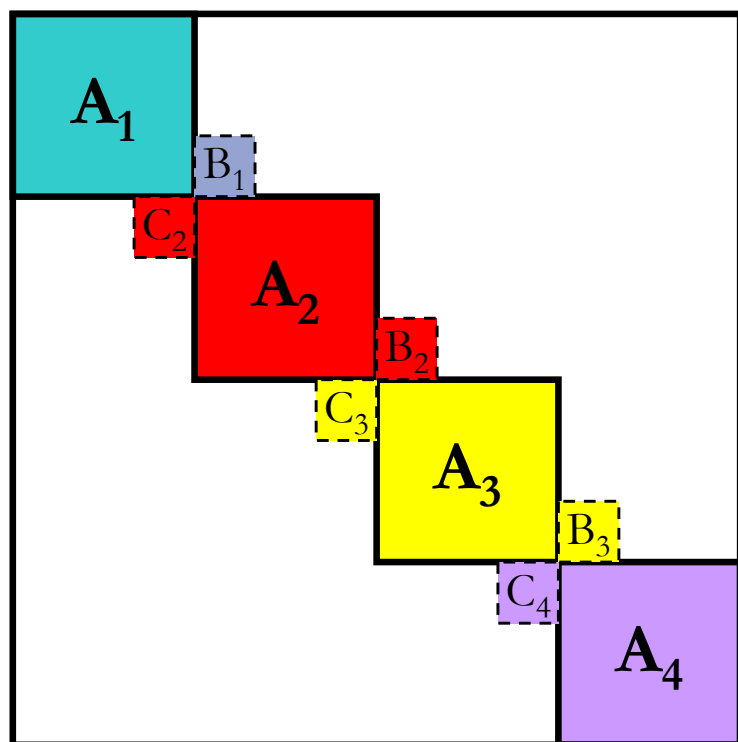
UL



# SPIKE:

Banded systems that are sparse within the band

- We make use of SPIKE “on-the-fly” scheme
- A SPIKE hybrid scheme exhibits better performance than other parallel direct sparse solvers used alone such as Pardiso, SuperLU, MUMPS
- Multilevel of Parallelism can be used to reduce the complexity of the reduced system



*Polizzi, Sameh- Computers & Fluids (2006)*



# SPIKE:

## Banded systems that are sparse within the band

N=432,000, b= 177, nnz= 7, 955, 116, sparsity of the band: 10.4%

Test (a)	Reord.	Fact.	Solve	Total	Residual
SPIKE 2-nodes	9.48	1.55	4.54	15.57	$10^{-7}$
SPIKE 4-nodes	5.68	0.77	2.3	8.76	$10^{-7}$
Trunc. SPIKE (8)	0	0.84	0.14	0.98	$10^{-14}$

- **MUMPS: time (2-nodes) = 21.35 s; time (4-nodes) = 39.6 s (memory swap)**
- **For narrow banded systems, SPIKE will consider the matrix dense within the band. Reordering schemes for minimizing the bandwidth can be used if necessary.**

N=471,800, b= 1455, nnz= 9, 499, 744, sparsity of the band: 1.4%

Test (b)	Reord.	Fact.	Solve	Total	Residual
SPIKE 2-nodes	18.69	11.06	23.06	52.82	$10^{-7}$
SPIKE 4-nodes	8.41	6.54	10.85	25.81	$10^{-7}$

→ **Good scalability using “on-the-fly” SPIKE scheme**





# SPIKE:

## Current status

Partitioning, creation of the data structure WORK



Factorization, Extraction of reduced system



Solve reduced system, retrieve, outside iterations



Clear the data structures



```
!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!  
!!!!!!!!!!!!!!!!!!!!!! SPIKE ENVIRONMENT !!!!!!!!!!!!!!!  
!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!  
call SPIKE_BEGIN(pspike,work,mat,pre)  
  
call SPIKE_PREPROCESS(pspike,work,pre)  
  
call SPIKE_POSTPROCESS(pspike,work,mat,pre,f)  
  
call SPIKE_END(pspike,work,mat,pre)  
!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!
```

- ❖ *SPIKE v.2 contains ~10K lines of MPI-Fortran90,*
- ❖ *Documentation (user guide) is ready,*
- ❖ *More than 40 different schemes for SPIKE available (best choices depend on the properties of the linear systems and/or architectures of the machines).*
- ❖ *New options: customized partitioning, and customized preconditioner.*
- ❖ *A SPIKE\_TUNE module is currently developed by Intel for automatic selection of the best SPIKE algorithm*
- ❖ *SPIKE will be soon available on-line*



# Computational Nanoelectronics

## Preconditioning strategy

$$(E[S] - [H(\psi)] - \sum_j [\Sigma_j(E)])X = F, \quad \forall E$$

### Traditional strategy:

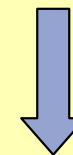
- Iterative solvers such as QMR, GMRES, BicgStab, with heuristic preconditioners such as ILUT, SSOR require **~80-100 iterations**.

### We propose to use $(E_1[S]-[H]-[\Sigma_{E_1}])$ as preconditioner for solving $(E_2[S]-[H]-[\Sigma_{E_2}])$ , if $(E_2 - E_1) < \delta E$ ,

- the preconditioner is **solved in parallel using SPIKE** and updated if # of iterations  $> N_{max}$
- Fast algorithm allowing refinement of the uniform energy grid**

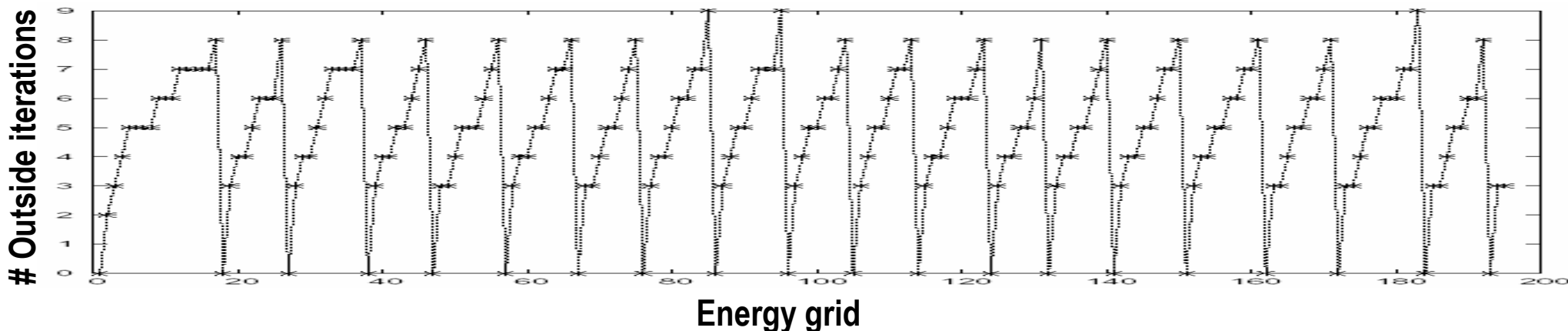
### SPIKE as Preconditioner

SPIKE "Preprocessing" on  $[M] = (E_1[S] - [H] - [\Sigma_{E_1}])$



### ITERATIVE METHOD

- SPIKE solver  $Mz = r$
- Matrix-vector mult.  $y = A*x$

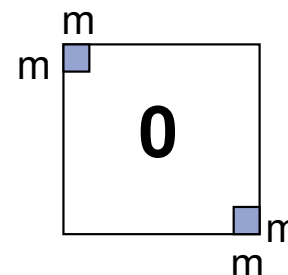


# Computational Nanoelectronics: Towards large-scale nanoelectronics simulations

Large sparse (banded) real symmetric (or Hermitian)-linear scaling

$$(E[S] - [H(V)] - \sum_j [\Sigma_j(E)])X = F, \quad \forall E$$

complex symmetric-quadratic scaling



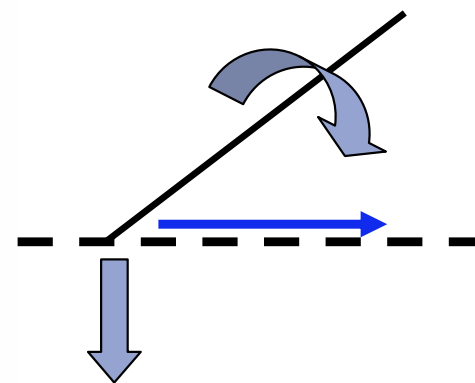
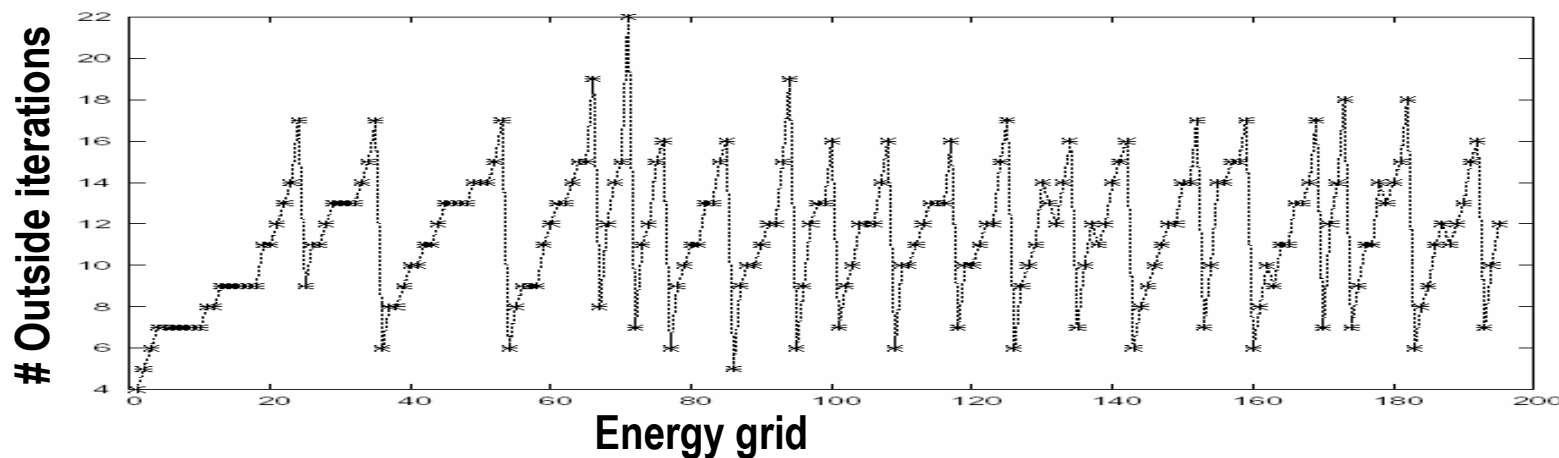
❑ **Large-scale simulations:** *the size  $m$  of the dense blocks in  $[\Sigma]$  increases significantly*

❑ **SPIKE as a solver and preconditioner:**

$(E_1[S]-[H])$  is a good preconditioner for  $(E_1[S]-[H]-[\Sigma_{E_1}])$

- ❑ **~6 outer-iterations of BiCGstab**
- ❑  $[\Sigma_{E_1}]$  is required only in mat-vecs, which can be done on the fly for large systems

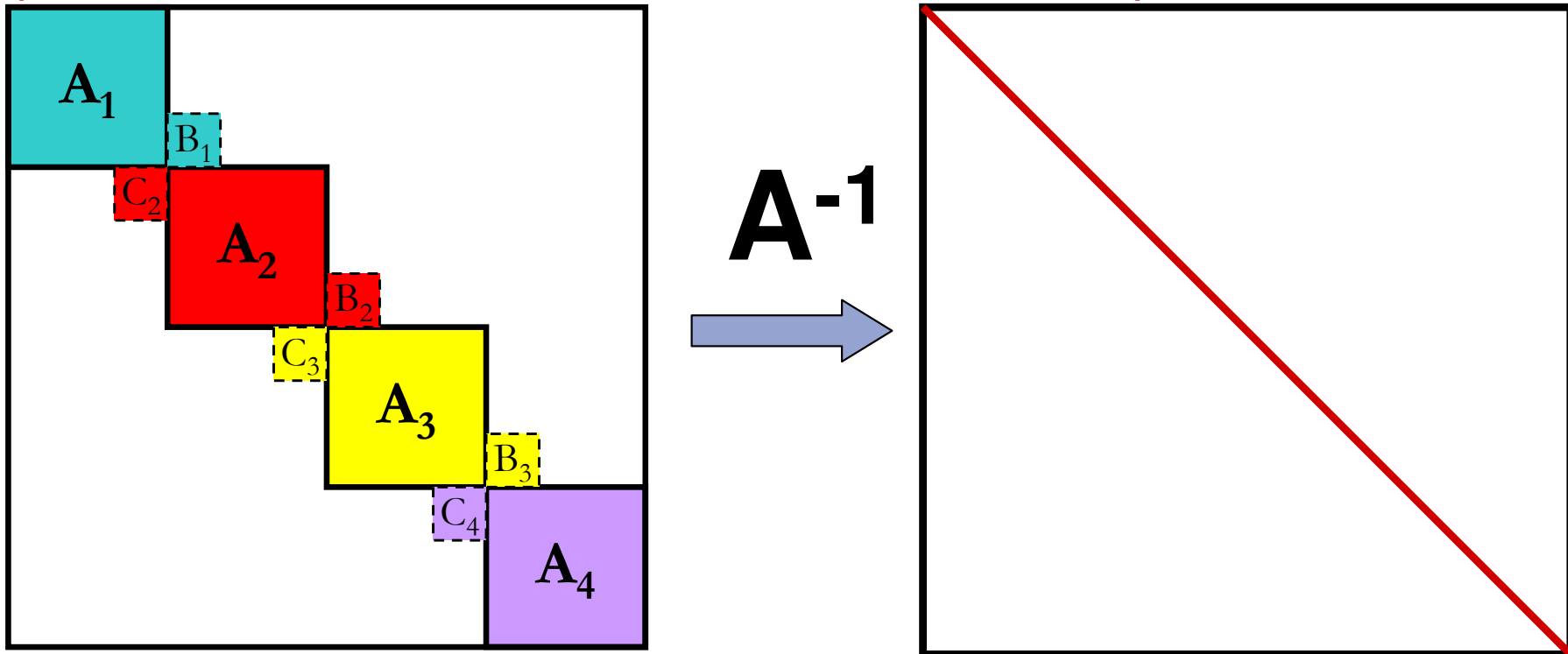
**Can be improved !**



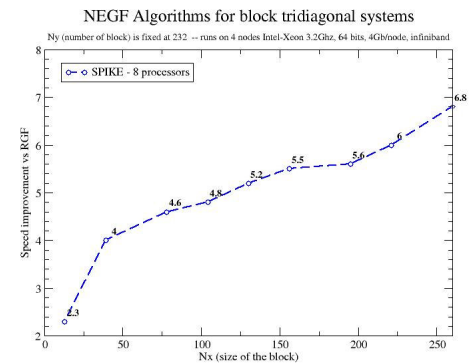
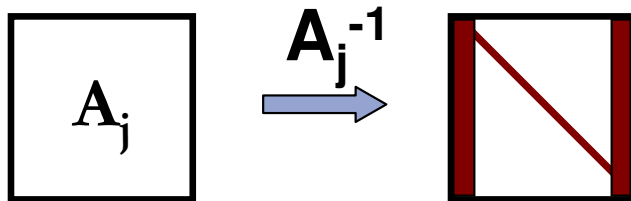
# SPIKE:

## Additional scheme

*SPIKE can be used for computing the diagonal elements of the inverse (alternative to standard electronic structure calculations).*



- Basic computational module for each  $A_j$



# Computational Nanoelectronics

## Directions

### ❑ Modeling Challenges

- ❑ *Dissipative scattering processes*
- ❑ *Atomistic modeling*
- ❑ *Time dependent*

### ❑ Computational Challenges

- ❑ *Improvement of the numerical techniques (multiscale, high order discretization schemes, preconditioning strategies...)*
- ❑ ***In Need of fast parallel algorithms (SPIKE, etc...)***

### ❑ Applications: Emerging Electronics Devices

- ❑ *Arbitrary devices, materials and geometries*
- ❑ *CNT and carbon graphene using fully atomistic real-space mesh techniques*
- ❑ *Full 3D simulations of Nanowire (Si and III-V) and dissipative scattering*

***NESSIE as a platform for exploring innovative modeling strategies, the essential numerical methods and high performance algorithms***

**Acknowledgment: Intel support**



# Backup



# Quantum Transport Modeling:

## Open systems - derivation of the open B.C.

- **CASE 1 (1D device)** Frensley (1990)

$$\left. \frac{\partial}{\partial \eta_j} \right|_0 \Psi_j^{out}(\eta_j) = ik_j \Psi_j^{out}(0)$$

- **CASE 2 (2D/3D device)** Lent, Kirkner (1990)

$$\left. \frac{\partial}{\partial \eta_j} \right|_0 \Psi_j^{out}(\eta_j, \xi_j) = i \sum_m k_j^m \chi_j^m(\xi_j) \langle \chi_j^m(\xi_j) | \Psi_j^{out}(0, \xi_j) \rangle_{L^2(\gamma_j)}$$

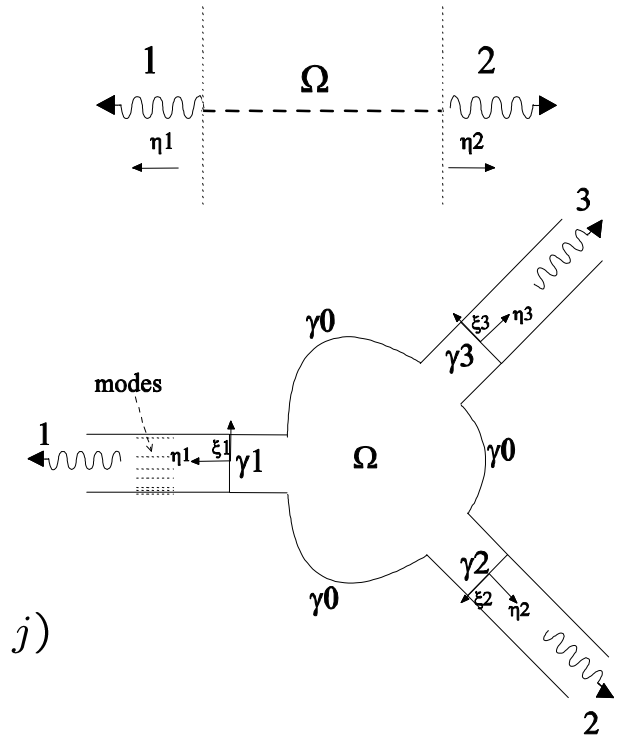
- **GENERAL CASE** ( $G_j$ : Green's function of the reservoirs  $j$ )

– Inglesfield (1981)–with Neumann B.C. for  $G_j$  on  $\gamma_j$

$$\left. \frac{\partial}{\partial \eta_j} \right|_0 \Psi_j^{out}(\eta_j, \xi_j) = -2 \int_{\gamma_j} G_j^{-1}(\xi_j; \xi'_j) \Psi_j^{out}(0, \xi'_j) d\xi'_j$$

– Fisher (1990)– with Dirichlet B.C. for  $G_j$  on  $\gamma_j$

$$\left. \frac{\partial}{\partial \eta_j} \right|_0 \Psi_j^{out}(\eta_j, \xi_j) = \frac{1}{2} \int_{\gamma_j} \left( \frac{\partial^2}{\partial \eta_j \partial \eta'_j} G_j(\eta_j, \xi_j; \eta'_j \xi'_j) \right) \Psi_j^{out}(0, \xi'_j) d\xi'_j$$



# Quantum Transport Modeling:

From Jellium to Atomistic models, why using real-space mesh techniques?

*In contrast to traditional methods such as: empirical tight-binding, LCAO, plane wave scheme*

- Computational Materials Science**
- *They do not suffer from numerical truncation errors of finite expansions when scaled to larger systems.*
  - *Consistency while solving the Poisson equation for electrostatics*
  - *Produce very sparse matrices (banded) and are cast as linear scaling methods.*

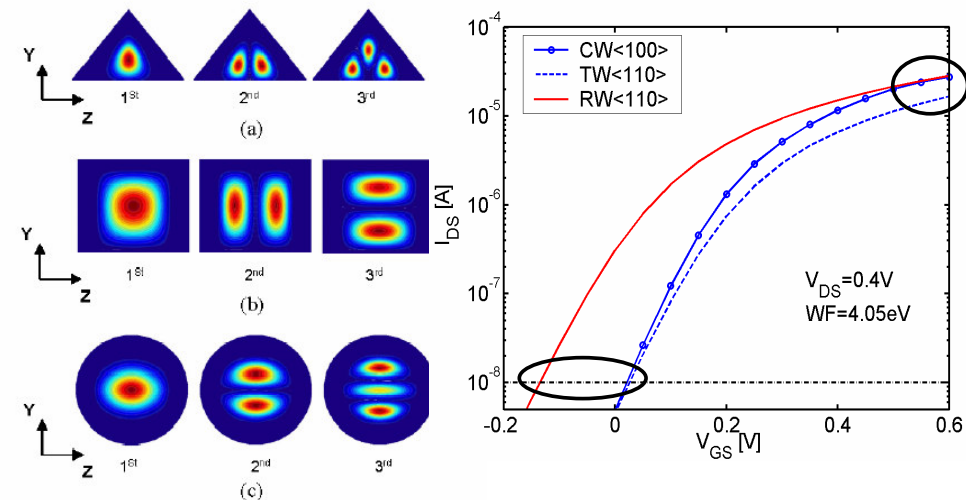
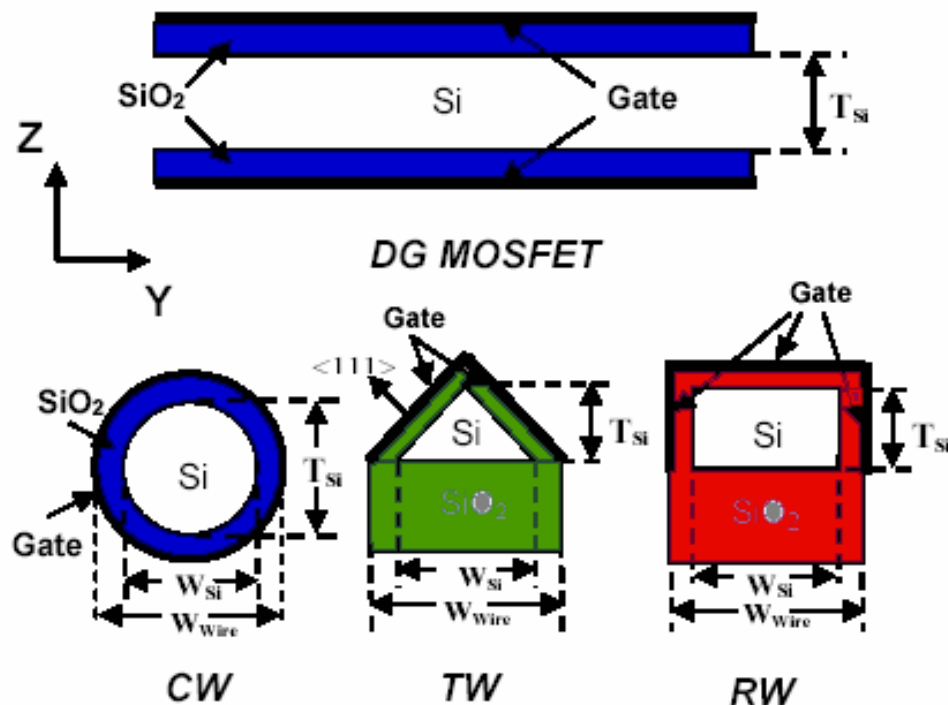
- Computational Electronics**
- *Ease to derive boundary conditions using a mathematical framework*
  - *Ability to consider discontinuities in materials, and the coupling between different physical domains: jellium/atomistic*
  - *FEM is a local basis-oriented approach, with ability to accommodate irregular device geometries, and to consider non-uniform meshes.*





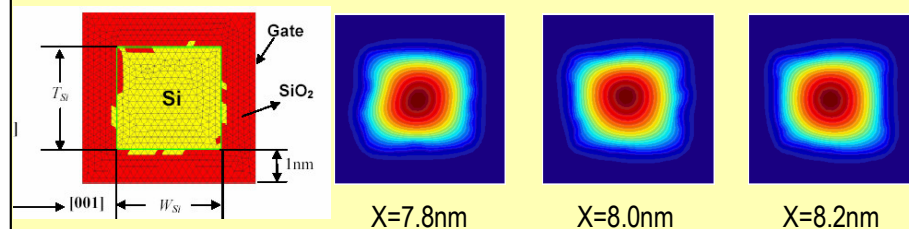
# Simulation of Emerging Electronic Devices: Silicon Nanowire Transistors

*J. Appl. Phys. 96, 2192 (2004)*

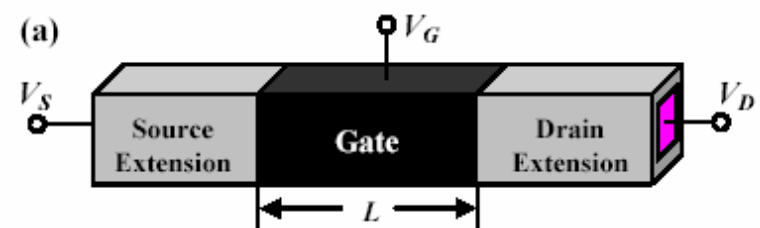


- ❑ 3D Mode space approach
- ❑ Asymptotic model (fast uncoupled mode approach or quasi-full dimensional model)
- ❑ Full 3D simulation to account for gate leakage in progress

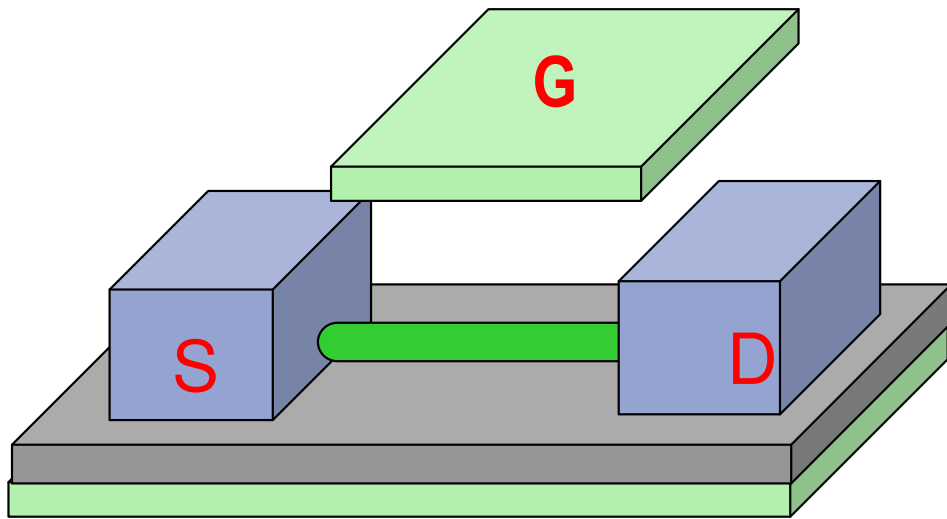
**In addition:** geometrical model for the treatment of surface roughness scattering



*Appl. Phys. Lett., 87, 043101 (2005)*

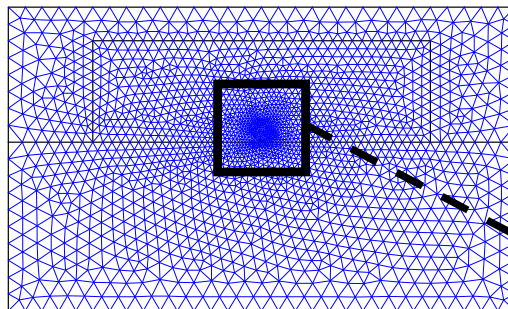


# Simulation of Emerging Electronic Devices: Carbon Nanotube Transistors

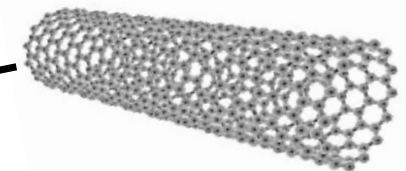
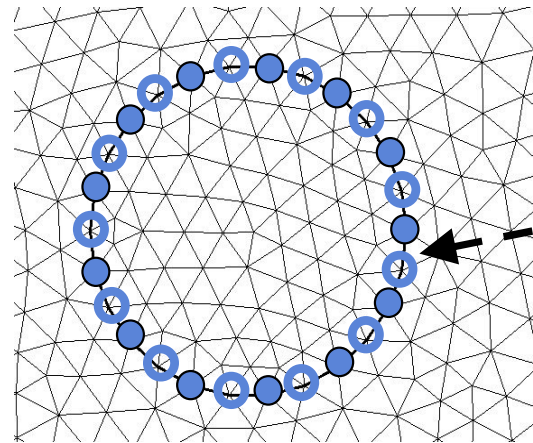
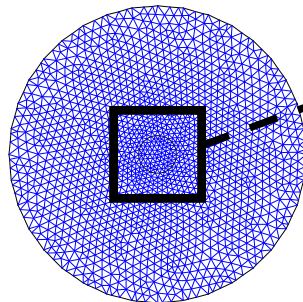


- Huge influence of 3D electrostatics on 1D CNT
- Multiscale-Electrostatics approach for CNTFET:
  - At large scale (transistors), the Carbon atom sites appear as point charges.
- Atomistic transport model:
  - **Currently uses tight-binding  $p_z$  orbitals**, Mode space or real-space approach; Empirical approach for handling metal-nanotube contacts.
  - **PDE-based approach under investigation:** DFT Kohn/Sham with pseudopotential  $\rightarrow$  hybrid transport model for metal-nanotube contact (jellium-atomistic interface)

**Planar** device cross section

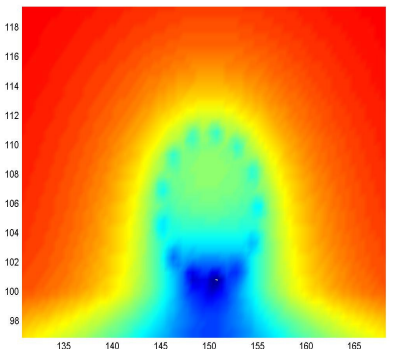
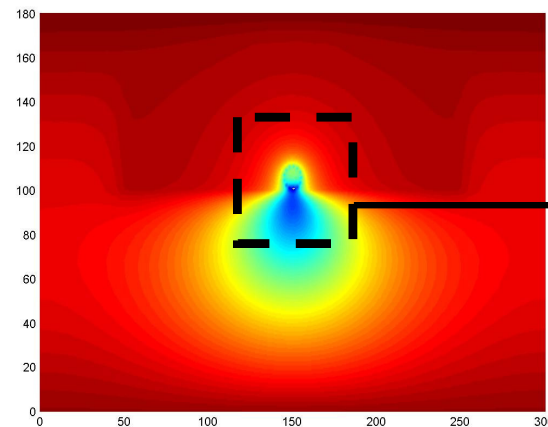
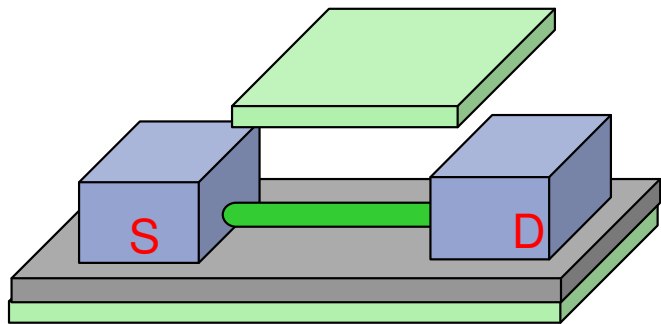


**Coaxial** device cross section



# Simulation of Emerging Electronic Devices: Carbon Nanotube Transistors

## Planar device



Appl. Phys. Lett – to appear- (2006)

## Coaxial device

